



# Insider Trading Law and Compliance Answer Book (2017 Edition)

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## **Insider Trading Law and Compliance Answer Book (2017 Edition)** Schulte Roth & Zabel LLP

Because the insider trading laws apply to everyone — not just corporate insiders — a proper understanding of both the basics of insider trading law as well as some of the complexities and nuances of this important area of the law is important to anyone who invests in the securities markets. **Insider Trading Law and Compliance Answer Book** provides you with a step-by-step understanding of the legal requirements imposed upon all investors. It walks you through:

The elements of an insider trading claim

What is legitimate company research that can be freely acted upon and what is nonpublic information that may not

When acting on a “tip” can incur legal liability

The framework of penalties when insider trading has been successfully proved

**Insider Trading Law and Compliance Answer Book** provides both the lawyer and the lay investor with a complete understanding of the criminal and civil issues that can arise, the possible penalties that could be incurred and the defenses available. It reflects the in-depth knowledge of legal practitioners who provide ongoing counseling and litigation representation to a wide array of clients.

In today’s turbulent financial markets, a claim of insider trading often hits the newspapers. **Insider Trading Law and Compliance Answer Book**, which fully integrates recent regulatory changes, provides a concise road map, helping the reader to navigate the legal requirements safely.

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